

Gareth Owens LL.B Barrister/Bargyfreithiwr
Chief Officer (Governance)
Prif Swyddog (Llywodraethu)



Contact Officer:
Sharon Thomas 01352 702324
sharon.b.thomas@flintshire.gov.uk

To: Cllr Chris Dolphin (Chairman)

Councillors: Janet Axworthy, Geoff Collett, Patrick Heesom, Joe Johnson,
Martin White and Arnold Woolley

Co-opted Members:

Sally Ellis and Allan Rainford

8 March 2022

Dear Sir/Madam

NOTICE OF REMOTE MEETING
GOVERNANCE AND AUDIT COMMITTEE
MONDAY, 14TH MARCH, 2022 at 10.00 AM

Yours faithfully

Steven Goodrum
Democratic Services Manager

Please note: This will be a remote meeting and 'attendance' will be restricted to Committee Members and those Members of Council who have asked the Head of Democratic Services for an invitation. Such attendees may only speak at the Chair's discretion.

The meeting will be live streamed onto the Council's website. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

A G E N D A

1 APOLOGIES

Purpose: To receive any apologies.

2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)

Purpose: To receive any Declarations and advise Members accordingly.

3 MINUTES (Pages 5 - 10)

Purpose: To confirm as a correct record the minutes of the meeting held on 26 January 2022.

4 ANNUAL AUDIT SUMMARY FOR FLINTSHIRE COUNTY COUNCIL 2020/21 (Pages 11 - 22)

Report of Chief Executive -

Purpose: To receive the Annual Audit Summary from the Auditor General for Wales and note the Council's response.

5 EXTERNAL REGULATION ASSURANCE (Pages 23 - 38)

Report of Chief Executive -

Purpose: To endorse the summary of all external regulatory reports received during 2020/21 along with the Council's responses.

6 QUARTER 4 TREASURY MANAGEMENT UPDATE 2021/22 (Pages 39 - 52)

Report of Corporate Finance Manager - Cabinet Member for Finance, Social Value and Procurement

Purpose: To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2022.

7 INTERNAL AUDIT STRATEGIC PLAN (Pages 53 - 72)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present the proposed Internal Audit Plan for the three year period 2022/23 to 2024/25 for Members' consideration.

8 PUBLIC SECTOR INTERNAL AUDIT STANDARDS (Pages 73 - 136)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform the Committee of the results of the annual internal assessment of conformance with the Public Sector Internal Audit Standards (PSIAS).

9 INTERNAL AUDIT PROGRESS REPORT (Pages 137 - 178)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

10 GOVERNANCE AND AUDIT COMMITTEE ACTION TRACKING (Pages 179 - 182)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Governance and Audit Committee meetings.

11 FORWARD WORK PROGRAMME (Pages 183 - 190)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

12 NOTE: ANNUAL MEETING WITH INTERNAL AND EXTERNAL AUDITORS

Purpose: In accordance with the agreed procedure, members of the Governance & Audit Committee will meet with the Internal and External Auditors immediately following the meeting.

Please note that there may be a 10 minute adjournment of this meeting if it lasts longer than two hours

Procedural Note on the conduct of meetings

The Chair will open the meeting and introduce themselves.

The meeting will be attended by a number of Councillors. Officers will also be in attendance to present reports, with Democratic Services officers acting as hosts of the meeting.

All attendees are asked to ensure their mobile phones are switched off and that any background noise is kept to a minimum.

All microphones are to be kept muted during the meeting and should only be unmuted when invited to speak by the Chair. When invitees have finished speaking they should go back on mute.

To indicate to speak, Councillors will use the chat facility or use the electronic raise hand function. The chat function may also be used for questions, relevant comments and officer advice and updates.

The Chair will call the speakers, with elected Members addressed as 'Councillor' and officers addressed by their job title e.g. Chief Executive' or name. From time to time, the officer advising the Chair will explain procedural points or suggest alternative wording for proposals, to assist the Committee.

If and when a vote is taken, the Chair will explain that only those who oppose the proposal(s), or who wish to abstain will need to indicate, using the chat function. The officer advising the Chair will indicate whether the proposals are carried.

If a more formal vote is needed, this will be by roll call – where each Councillor will be asked in turn (alphabetically) how s/he wishes to vote

At County Council and Planning Committee meetings speaker's times are limited. A bell will be sounded to alert that the speaker has one minute remaining

The meeting will be live streamed onto the Council's website. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>